



Registration as a recognised intermediary for the purpose of UK Stamp Duty and Stamp Duty Reserve Tax (UK SDRT) relief

If any Participant wishes to apply for intermediary relief from UK SDRT, then it should forward a completed version of this form to the Operator of SIGMA X MTF™. Any Participant which has already been afforded intermediary status by HM Revenue & Customs should provide full details of its status to the Operator of SIGMA X MTF.

To: **SIGMA X MTF**

From (Name of Participant): _____

Name of SIGMA X MTF Participant:	
Registered Address:	
CREST Participant code:	

We hereby apply, in accordance with sections 80A and 88A of the UK Finance Act 1986, for recognition as an intermediary and confirm that we:

- i. carry on a bona fide business of dealing in shares; and
- ii. do not carry on an "excluded business" as defined within the Finance Act 1986

Non-UK based SIGMA X MTF Participants

UK address where HM Revenue & Customs may inspect your records (this may be the address of your clearing agent where the clearing agent has agreed to provide this service):

Company Name:	
Address:	

Signature: _____

Name (PRINT): _____

Position at Participant: _____

Date: _____